Plan Year Compliance Package

Legacy Retirement Solutions, LLC

P.O. Box 1215
Brookhaven, PA 19015

Phone: 484-483-1044
Fax: 484-361-4800

E-mail: marketing@legacyrsllc.com

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With the ever increasing need for complete disclosure; managing and maintaining all of the documents, forms, testing results, tax filings, etc. has become a daunting and exhaustive task. In addition, the regulatory agencies charged with auditing and investigating retirement plans continue to raise their expectations with respect to the formal policies and procedures implemented and maintained by retirement Plan Sponsors in order to facilitate the compliant operation of their plan.

Legacy is here to help your Company satisfy these requirements and expectations by offering 3 different levels of Plan Year Compliance reporting from our Standard and our Plus, right up to our Premium. Each Plan Year report is designed to help your Company maintain a "single source" organized and streamlined record of a prior Plan Year's operation. Below is a listing of each Plan Year Compliance package offered along with a list of included reports and pricing. Please contact us for more information.

Standard Package	Plus Package	Premium Package
1. Summary of Test Results	1. Standard Package	1. Plus Package
2. Eligibility Status	2. Basic Plan Document	2. Auditor Report
3. 410(b) Test	3. Adoption Agreement	3. Administrative Report
4. Deductibility Test	4. Summary Plan Description	4. Trust Report
5. Annual Additions Report	5. SAS-70 Recordkeeper	5. Loan Summary Report
6. ADP/ACP Test	6. Form 5500	6. Investment Policy Statemer
7. ADP/ACP Corrections	7. Summary Annual Report	7. 404(a)(5) Notice*
8. HCE & Key Determination	8. 5500 Reconciliation	8. Auto Enrollment Notice*
9. Top Heavy Report	9. Safe Harbor Notice	9. QDIA Notice*
$250 – CD Version	$400 – CD Version	$500 – CD Version
$350 – Hardcopy Binder	$500 – Hardcopy Binder	$600 – Hardcopy Binder

***If applicable and subject to third-party provision of such documents, if necessary**

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