



Defined Benefit Plan Year **Compliance Package**

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Defined Benefit Plan Year Compliance Package

Effective immediately, Legacy Retirement Solutions, LLC (Legacy) is proud to announce a new service offering. Starting with the 2014 Plan Year, Legacy will begin offering Plan Year Compliance packages to all of its Defined Benefit Plan Sponsors.

With the ever increasing need for complete disclosure; managing and maintaining all of the documents, forms, testing results, tax filings, etc. has become a daunting and exhaustive task. In addition, the regulatory agencies charged with auditing and investigating retirement plans continue to raise their expectations with respect to the formal policies and procedures implemented and maintained by retirement Plan Sponsors in order to facilitate the compliant operation of their plan.

Legacy is here to help your Company satisfy these requirements and expectations by offering a Defined Benefit Plan Year Compliance reporting package. This offering is in addition to our already available packages for your 401(k) profit sharing plan. Each Plan Year report is designed to help your Company maintain a "single source" organized and streamlined record of a prior Plan Year's operation. Below is a listing of the Defined Benefit Plan Year Compliance package offered along with a list of included reports and pricing. Please contact us for more information.

Defined Benefit Package

1. Valuations
2. Summary of Plan Provisions
3. Form 5500 with all applicable schedules
4. SAR
5. Plan Document
6. Adoption Agreement
7. SPD
8. Amendments
9. Participant Statements
10. Trust Rpt/Brokerage Account statements
11. AFTAP – Adjusted Funding Target Attainment Percentage
12. AFN – Annual Funding Notice
13. PBGC Filing, if applicable

\$500 – CD Version \$600 – Hardcopy Binder

*Reduced pricing for multiple packages available

***If applicable and subject to third-party provision of such documents, if necessary**



www.legacyrslc.com

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